

Commissioner for Patents
Washington, DC 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|------------------------|------------------------|
| 09/643,579 | 08/22/2000 | Richard Martin Broglie | 07148-025003 |

CARGILL INCORPORATED
P.O. BOX 5624 MS 24
MINNEAPOLIS, MN 55440-5624

Docketed 10/1 Attorney DLB
Action Ad Resp Due
Due Date _____
Deadline 11/26/02
Initials aaa

CONFIRMATION NO. 3114

FORMALITIES LETTER



OC00000008853249

Date Mailed: 09/26/2002

NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Page(s) Page 37, 38, & 39 of the specification (description and claims).

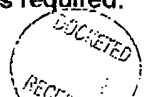
I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date must be filed within **TWO MONTHS** of the date of this Notice.

Applicant is advised that generally the filing fee required for an application is the filing fee in effect on the filing date accorded the application and that payment of the requisite basic filing fee on a date later than the filing date of the application requires payment of a surcharge (37 CFR 1.16(e)). To avoid processing delays and payment of a surcharge, applicant should submit any balance due for the requisite filing fee based on the later filing date being requested when submitting the omitted items(s) and the petition (and petition fee) requesting the later filing date.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabeled drawing figures, is required.



A copy of the drawing figures showing the proposed changes in red ink should accompany with any drawing changes. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center
Initial Patent Examination Division (703) 308-1202

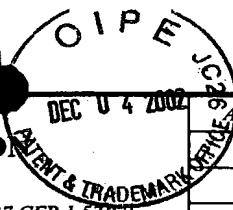
PART 1 - ATTORNEY/APPLICANT COPY

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09/23/98 120402

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))



| | |
|--|------------------------|
| Attorney Docket No. | BB1334 USNA CNT1 |
| First Named Inventor or Application Identifier | RICHARD MARTIN BROGLIE |
| Express Mail Label No. | EL073739282US |
| Express Mailing Date | AUGUST 22, 2000 |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- | | |
|---|--|
| <p>1. <input checked="" type="checkbox"/> Fee (Authority to charge deposit account below.) (Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages 60] (preferred arrangement set forth below)</p> <ul style="list-style-type: none">- Descriptive title of the invention- Cross References to Related Applications (if needed)- Statement Regarding Fed sponsored R & D (if needed)- Reference to Microfiche Appendix (if filed)- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 4]</p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 1]</p> <ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 14 completed)i. <input type="checkbox"/> DELETION OF INVENTORS Signed Statement below at 15 deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). <p>5. <input checked="" type="checkbox"/> Incorporation by Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> | <p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Computer Readable Copyb. <input checked="" type="checkbox"/> Paper Copy (identical to computer copy)c. <input checked="" type="checkbox"/> Statement verifying identity of above copies |
|---|--|
- ACCOMPANYING APPLICATION PARTS**

8. ☐ Power of Attorney

9. ☒ Information Disclosure Statement (IDS)/Cover Letter plus PTO-1449 ☐ Copies of IDS Citations

10. ☒ Preliminary Amendment

11. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

12. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

13. ☐ Other:

14. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No.: 09/232,948

15. ☐ **DELETION OF INVENTOR(S) STATEMENT:** This application is being filed by less than all the inventors named in the prior application. In accordance with 37 CFR 1.63(d)(2) and 1.33(b), the Assistant Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application:
16. ☒ Amend the specification by inserting before the first line the sentence:
-- This is a continuation of Application No. 09/232,948 filed January 19, 1999, now pending which is a continuation of Application No. 08/728,025 filed October 9, 1996, now abandoned. --
17. ☐ Cancel in this application original claims ____ of the prior application before calculating the filing. (At least one original independent claim must be retained for filing purposes.)
18. ☐ Priority of foreign Application No. _____ filed on _____ in _____
_____ is claimed under 35 U.S.C. 119.
(country)

| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|---|---------|------------------|------------------|-------------------------------|------------------|
| TOTAL CLAIMS (37 CFR 1.16(c)) | | 36 - 20 = | 16 | x \$ 18 = | \$ 288.00 |
| INDEPENDENT CLAIMS (37 CFR 1.16(b)) | | 3 - 3 = | 0 | x \$ 78 = | 0 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | + \$ 260 = | 0 |
| | | | | BASIC FEE (37 CFR 1.16(a)) | + \$ 690.00 |
| | | | | TOTAL = | \$ 978.00 |

19. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 04-1928:

a. ☒ Fees required under 37 CFR 1.16.

b. ☒ Fees required under 37 CFR 1.17.

20. ☐ Other:

| 21. CORRESPONDENCE ADDRESS | | | | | |
|----------------------------|--------------------------------------|-----------|--------------|----------|--------------|
| NAME | Lynne M. Christenbury | | | | |
| ADDRESS | E. I. du Pont de Nemours and Company | | | | |
| | Legal - Patents | | | | |
| | 1007 Market Street | | | | |
| CITY | Wilmington | STATE | Delaware | ZIP CODE | 19898 |
| COUNTRY | U.S.A. | TELEPHONE | 302-992-5481 | FAX | 302-892-7949 |

| 22. SIGNATURE OF ATTORNEY OR AGENT REQUIRED | | |
|---|------------------------------|------------------|
| NAME | Lynne M. Christenbury | REG. NO.: 30,971 |
| SIGNATURE | <i>Lynne M. Christenbury</i> | |
| DATE | 22 August 2000 | |